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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: **Axel ULLRICH, et al.**  
Title: **NOVEL PTP-20, PCP-2, BDP1, CLK, AND SIRP  
PROTEINS AND RELATED PRODUCTS AND METHODS**  
Appl. No.: **08/877,150**  
Filing Date: **June 17, 1997**  
Examiner: **J. Murphy**  
Art Unit: **1646**

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of a document known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed document is being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

**RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

The reference was cited on an International Search Report.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Date August 1, 2001

FOLEY & LARDNER  
Washington Harbour  
3000 K Street, N.W., Suite 500  
Washington, D.C. 20007-5109  
Telephone: (202) 672-5475  
Facsimile: (202) 672-5399

Respectfully submitted,

By 

Beth A. Burrous  
Attorney for Applicant  
Registration No. 35,087

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Attorney Docket No. 038602/0148**

In re patent application of

**Axel ULLRICH, *et al.***

Serial No. **08/877,150**

Art Unit: **1646**

Filed: **June 17, 1997**

Examiner: **J. Murphy**

For: **NOVEL PTP-20, PCP-2, BDP1, CLK, AND SIRP PROTEINS AND  
RELATED PRODUCTS AND METHODS**

**L E T T E R**

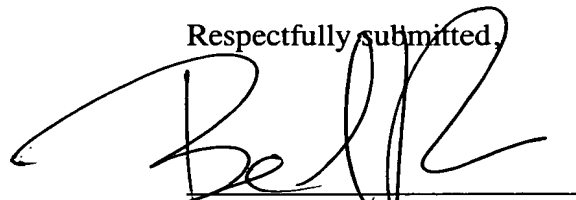
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In reviewing the above-captioned application file, the undersigned has noted that the several listed references on Applicants' PTO Form 1449's submitted with their Information Disclosure Statements on August 18, 1998 and August 9, 1999, have not been initialed by the Examiner. A copy of the documents are enclosed for your convenience.

The Patent and Trademark Office is, therefore, requested to return the initialed form to the undersigned as soon as possible.

Respectfully submitted,



Beth A. Burrous  
Registration No. 35,087

August 1, 2001  
Date

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Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and returning this card to our office.

Applicant: Axel Ullrich et al.

Serial No. 08/877,150

Filed: June 17, 1998

For: NOVEL PTP20, PCP-2, BDP1, CLK AND SIRP  
PROTEINS AND RELATED PRODUCTS AND  
METHODS

Attorney(s): Charles S. Berkman

Docket No.: 225/298

Date of Deposit: 08/18/98

Enclosure(s): INFORMATION DISCLOSURE  
STATEMENT, FORM 1449, 128 REFERENCES, AND  
REPLY POSTCARD

CSB:srw

SUGEN, INC



Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and returning this card to our office.

Applicant: Axel Ullrich et al.

Serial No. 08/877,150

Filed: June 17, 1998

For: NOVEL PTP20, PCP-2, BDP1, CLK AND SIRP  
PROTEINS AND RELATED PRODUCTS AND  
METHODS

Attorney(s): Charles S. Berkman

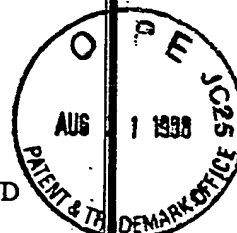
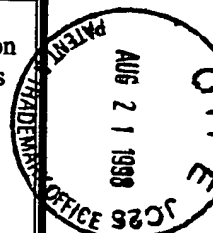
Docket No.: 225/298

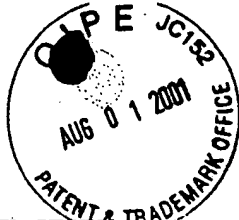
Date of Deposit: 08/18/98

Enclosure(s): INFORMATION DISCLOSURE  
STATEMENT, FORM 1449, 128 REFERENCES, AND  
REPLY POSTCARD

CSB:srw

SUGEN, INC





Patent  
225/298

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: ) Group Art Unit: 1643  
Axel Ullrich et al. ) Examiner: S. Teng  
Serial No. 08/877,150 )  
Filed: June 17, 1997 )  
For: NOVEL PTP20, PCP-2, BDP1, CLK )  
AND SIRP PROTEINS AND RELATED )  
PRODUCTS AND METHODS )

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is Form PTO-1449 listing patents and publications.  
Copies of U.S. patents and other publications are attached  
hereto.

SD-82179.1

(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being  
attached or enclosed) is being deposited with the United States Postal  
Service on the date shown below with sufficient postage as First Class Mail  
in an envelope addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.

August 18, 1998  
Date of Deposit

Sabrina R. Wright

Name of Person Mailing Paper

Signature of Person Mailing Paper

At this time, no fee is believed due in connection with this Information Disclosure Statement, however, please charge Deposit Account No. 12-2475 for any deficiencies.

Respectfully submitted,

LYON & LYON LLP,



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Charles S. Berkman  
Registration No. 38,077

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Los Angeles, California 90071  
Telephone: (619) 552-8400  
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Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and returning this card to our office.

Applicant: Axel Ullrich et al.  
Serial No.: 08/877,150  
For: NOVEL PTP20, PCP-2, BDP1, CLK AND SIRP PROTEINS  
AND RELATED PRODUCTS AND METHODS  
Filed: June 17, 1997

Attorney(s): C. Berkman  
Docket No.: 225/298  
Date of Deposit: 8/9/99

Enclosure(s): SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT,  
FORM PTO-1449, COPY OF CITED REFERENCE (1), AND  
REPLY POSTCARD

CSB:kmc

SUGEN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:	)	Group Art Unit: 1646
	)	
Axel Ullrich et al.	)	Examiner: Teng, S.
	)	
Serial No.: 08/877,150	)	
	)	
Filed: June 17, 1998	)	
	)	
For: NOVEL PTP20, PCP-2, BDP1, CLK AND	)	
SIRP PROTEINS AND RELATED	)	
PRODUCTS AND METHODS	)	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached is a copy of a publication, listed on the accompanying PTO-Form 1449.

Applicants respectfully submit that they made a bona fide attempt to comply with the requirements of 37 C.F.R. §1.98 when they filed an Information Disclosure Statement on August 18, 1998. However, Applicants inadvertently omitted the attached reference from said Statement. Applicants respectfully request that an extension of time be granted and the present reference be considered pursuant to the provisions of 37 C.F.R. §1.97(f).

SD-124435.1

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_  
August 9, 1999  
\_\_\_\_\_  
Date of Deposit

Karen M. Cruz  
\_\_\_\_\_  
Name of Person Mailing Paper

  
\_\_\_\_\_  
Signature of Person Mailing Paper



If any fee is due in connection with this Supplemental Information Disclosure Statement,  
please charge Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: August 5, 1999

By: 

Charles S. Berkman  
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